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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/AU97/00140 <b>(22) International Filing Date:</b> 7 March 1997 (07.03.97)  <b>(30) Priority Data:</b> PN 8554                      7 March 1996 (07.03.96)                      AU  <b>(71) Applicant (for all designated States except US):</b> UNISEARCH LIMITED [AU/AU]; 221-227 Anzac Parade, Kensington, NSW 2033 (AU).  <b>(72) Inventor; and</b> <b>(75) Inventor/Applicant (for US only):</b> KHACHIGIAN, Levon, Michael [AU/AU]; 5 Ratcliffe Street, Ryde, NSW 2111 (AU).  <b>(74) Agent:</b> F.B. RICE & CO.; 28A Montague Street, Balmain, NSW 2041 (AU).		<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU. ARIPO patent (GH, KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title: INHIBITION OF PROLIFERATION OF CELLS</b>  <b>(57) Abstract</b>  The present invention provides a method of inhibiting proliferation of cells. The method comprises inhibiting induction or decreasing expression of Egr-1 or decreasing the nuclear accumulation or activity of the Egr-1 gene product. The present invention also provides a method of reducing the incidence of restenosis in subject. The method comprises administering to the subject an agent which inhibits induction or decreases expression of Egr-1 or decreases the nuclear accumulation or activity of the Egr-1 gene product.		

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*Inhibition of Proliferation of Cells*FIELD OF THE INVENTION

5

The present invention relates to a method of inhibiting the activation of a gene which has in turn been shown to lead to the induction of a number of other genes that have been strongly implicated in the development of vascular disease such as atherosclerosis and restenosis. In addition, the present invention relates to oligonucleotides which can be used in this method. The invention seeks to inhibit the proliferation of cells, migration of cells to sites of injury and remodelling of vascular wall, associated with the pathogenesis of atherosclerosis or restenosis, such as smooth muscle cells or endothelial cells.

15

BACKGROUND OF THE INVENTION

Atherosclerosis is thought to originate from a subtle process of endothelial injury. Vascular endothelium constitutes a non-thrombogenic surface of normally quiescent cells that line blood vessels and regulate molecular and cellular movement across the vessel wall. In response to denuding injury, endothelial cells at the wound edge spread and migrate into the vacant area, undergo proliferation and secrete factors that stimulate endothelial and smooth muscle cell growth. These responses provide an important homeostatic mechanism for maintaining normal vascular function. Growth factors such as platelet-derived growth factor (PDGF, which comprises an A chain and/or a B chain) and basic fibroblast growth factor (bFGF) have been implicated to play key roles in the regenerative events following vascular injury. The induction of PDGF gene expression in vascular endothelium may have profound chemotactic and mitogenic effects on the underlying smooth muscle cells and contribute to the structural remodelling that typically occurs in experimental arterial repair, restenosis and in the pathogenesis of atherosclerotic vascular disease (1). Smooth muscle cells are found in both fatty streaks and fibrous atherosclerotic plaques. Their proliferation and ability to form enormous amounts of

35

connective tissue matrix and accumulate lipid are key contributing factors in the development of the atherosclerotic lesion.

Despite a wealth of descriptive studies which correlate the formation of vascular occlusive lesions with the inappropriate expression of these and  
5 other growth regulatory molecules (2), a direct link between a transcription factor and the induced expression of a pathophysiologically relevant gene has not yet been demonstrated in the context of arterial injury.

The treatment of occluded coronary arteries currently involves the use of percutaneous transluminal coronary angioplasty (PCTA) or more  
10 recently PCTA in conjunction with the placement of a device known as a stent. PCTA is a balloon device that is delivered to the affected site via a catheter and following expansion of the balloon results in physical removal of the blocking plaque or thrombus and enlargement of the local vessel area.

The application of the stent, a fenestrated metallic sleeve, adds  
15 additional support to the re-opened vessel and amongst other benefits, prevents the frequency of elastic recoil of the vessel wall. In some of the cases of intervention the benefit of the treatment is short lived and the vessel undergoes reclosure or restenosis. Restenosis is a multi-phased clinical event and can involve elastic recoil in the first instance followed by  
20 extensive vascular remodelling and luminal shrinkage. The final stages of the restenotic process involve recruitment and proliferation of smooth muscle cells to create a neo-intimal mass between the elastic lamina and the endothelium. The incidence of restenosis has gradually reduced with the advancement of healthcare methods but is still a significant problem  
25 (Kimura et al. (1996) New England Journal of Medicine 335:561-566, Bittl, (1996) New England Journal of Medicine 334:1290 - 1302).

There is considerable activity focussed on the development of pharmaceuticals to be used as adjuncts to the interventional methods in an attempt to reduce the incidence of restenosis. Some of the classes of drugs  
30 under development include:

(a) Anticoagulants - agents such as hirudin and bivalirudin target the formation of thrombin rich clots.

35 (b) Antiplatelet drugs - suppression of platelet activation can reduce the formation of platelet aggregates and clotting. One approach

involves the use of a monoclonal antibody dubbed Abciximab that is specific for the platelet fibrinogen receptor glycoprotein IIb/IIIa.

5 (c) Antiproliferatives - Trepidil is an antagonist of the PDGF receptor. PDGF is an established stimulator of smooth muscle cell recruitment and proliferation and it is proposed that inhibition of PDGF activity will inhibit this activity.

10 (d) Antioxidants - compounds such as Probucol are currently under investigation as agents to remove oxidative stress from vessel walls and thus limit the smooth muscle cell proliferation associated with such stress.

15 (e) Nucleic acid based therapies - antisense and ribozymes directed against specific targets e.g. WO 96/25491, WO 96/20279 and WO 96/11266

#### SUMMARY OF THE INVENTION

20 It has been found that Egr-1 is rapidly activated following arterial injury. Induced Egr-1 binds to, and stimulates expression from, the control regions of several genes whose products cause cell proliferation, cell recruitment and vascular wall remodelling of vascular cells.

25 Accordingly, in a first aspect, the present invention consists in a method of inhibiting proliferation of cells comprising inhibiting induction or decreasing expression of Egr-1 or decreasing the nuclear accumulation or activity of the Egr-1 gene product.

30 In a preferred embodiment the cells are vascular cells, particularly smooth muscle or endothelial cells. The cells may, however, be cells involved in neoplasia.

As will be recognised by those skilled in this field there are a number means by which the method of the present invention may be achieved. These include the following:

- 5 (a) Targeting the Egr-1 gene directly using triple helix (triplex) methods in which a ssDNA molecule can bind to the dsDNA and prevent transcription.
- 10 (b) Inhibiting transcription of the Egr-1 gene using nucleic acid transcriptional decoys. Linear sequences can be designed that form a partial intramolecular duplex which encodes a binding site for a defined transcriptional factor. Evidence suggests that Egr-1 transcription is dependent upon the binding of Sp1, AP1 or serum response factors to the promoter region. It could be envisaged that
- 15 inhibition of this binding of one or more of these transcription factors would inhibit transcription of the Egr-1 gene.
- (c) Inhibition of translation of the Egr-1 mRNA using synthetic antisense DNA molecules that do not act as a substrate for RNase H and act by sterically blocking gene expression.
- 20 (d) Inhibition of translation of the Egr-1 mRNA by destabilising the mRNA using synthetic antisense DNA molecules that act by directing the RNase H-mediated degradation of the Egr-1 mRNA present in the heteroduplex formed between the antisense DNA and mRNA.
- 25 (e) Inhibition of translation of the Egr-1 mRNA by destabilisation of the Egr-1 mRNA by cleavage of the mRNA by sequence-specific hammerhead ribozymes and derivatives of the hammerhead ribozyme such as the Minizymes or Mini-ribozymes or where the ribozyme is derived from:
- 30 (i) the hairpin ribozyme,
- (ii) the Tetrahymena Group I intron,
- 35 (iii) the Hepatitis Delta Viroid ribozyme or
- (iv) the Neurospora ribozyme.

The composition of the ribozyme could be:

- (i) made entirely of RNA,
- (ii) made of RNA and DNA bases.

5 or

- (iii) made of RNA or DNA and modified bases, sugars and backbones

The ribozyme could also be either:

- (i) entirely synthetic or
- 10 (ii) contained within a transcript from a gene delivered within a virus-derived vector, expression plasmid, a synthetic gene, homologously or heterologously integrated into the patients genome or delivered into cells *ex vivo*, prior to reintroduction of the cells of the patient, using one of the above methods.
- 15 (f) Inhibition of translation of the Egr-1 mRNA by cleavage of the mRNA by sequence-specific catalytic molecules composed of DNA. For example molecules described previously by Breaker and Joyce (Breaker and Joyce (1995) Chemistry and Biology 2:655-660) could be
- 20 developed to cleave Egr-1 mRNA.
- (g) Inhibition of Egr-1 activity as a transcription factor using transcriptional decoy methods. A method according to that described in (b) above could be used that would interfere with Egr-1 activity
- 25 and consequent induction of Egr-1-dependent genes.
- (h) Inhibition of the activity of the Egr-1 gene or protein by antisense oligonucleotides that have the potential to hybridise specifically to the Egr-1 mRNA and contain four consecutive G residues. These G
- 30 residues are required for the effect of the oligo in preventing restenosis or atherosclerosis. See WO 96/11266 "Method for inhibiting smooth muscle cell proliferation and oligonucleotides for use therein".
- 35 (i) Inhibition of the ability of the Egr-1 gene to bind to its target DNA by drugs that have preference for GC rich sequences. Such drugs



include nogalamycin, hedamycin and chromomycin A<sub>3</sub> (Chiang *et al* J. Biol. Chem 1996: 271:23999).

5 In a second aspect the present invention consists in an oligonucleotide for use in decreasing biosynthesis of Egr-1, the oligonucleotide having the sequence ACA CTT TTG TCT GCT.

As will be readily recognised by those skilled in the art the process of restenosis involves proliferation of smooth muscle cells. Endothelial and smooth muscle cells activated by injury inducibly express genes whose  
10 products are mitogenic and chemotactic to these cells. Accordingly it is believed that the method of the present invention may have particular application in the inhibition or reduction of occurrence of this condition.

Accordingly, in a third aspect the present invention consists in a method of reducing the incidence of restenosis in subject the method  
15 comprising administering to the subject an agent which inhibits induction or decreases expression of Egr-1 or decreases the nuclear accumulation or activity of the Egr-1 gene product.

As will be understood by those skilled in the art there are a number of methods by which the agents which inhibit induction or decrease  
20 expression of Egr-1 or decrease the nuclear accumulation or activity of the Egr-1 gene product may be administered. A useful review of a number of these delivery routes is provided by Reissen *et al* (J Am Coll Cardiol 1994:23:1234-44) and Wilensky *et al* (Trends Cardiovasc Med 1993:3:163-170), the disclosures of which are incorporated herein by reference.

25 In particular, delivery of the nucleic acid agents described may be achieved by one or more of the following methods:

(a) Liposomes and liposome-protein conjugates and mixtures.

30 (b) Using catheters to deliver intra-luminal formulations of the nucleic acid as a solution or in a complex with a liposome.

(c) Catheter delivery to adventitial tissue as a solution or in a complex with a liposome.

(d) Within a polymer such as Pluronic gels or within ethylene vinyl acetate copolymer (EVAc). The polymer will be delivered intraluminally.

5 (e) Within a viral-liposome complex, such as Sendai virus.

(f) The nucleic acid may be delivered by a double angioplasty balloon device fixed to catheter.

10 (g) The nucleic acid could be delivered on a specially prepared stent of the Schatz-Palmaz or derivative type. The stent could be coated with a polymer or agent impregnated with nucleic acid that allows controlled release of the molecules at the vessel wall.

15 As used herein the term DNA refers to primarily to deoxyribonucleotides it will, however, be readily apparent to those skilled in the art that derivatives of DNA may be used. It is intended that such derivatives are included in the scope of the present invention. The envisaged modifications are well known to those skilled in the art and  
20 include:

- (a) phosphodiester backbone modification by replacement of a non-bridging oxygen atom with sulphur or a methyl group such as in phosphorothioates or methylphosphonates or replacement of phosphodiester backbone with a peptide linked backbone such as in  
25 PNAs.
- (b) replacement of the 2' hydrogen within the deoxyribose group with a amine, methyl or other alkanes or alkenes or other functional group.
- (c) modification of the termini of the oligonucleotide by the addition of an inverted base at the 3' end via 3' -3' linkages.
- 30 (d) modification of 5' and 3' by conjugation of other functional groups selected from lipids and steroids such as cholesterol.
- (e) phosphodiester backbone modifications in which the phospho-sugar backbone is replaced by a morpholino phosphorodiamidate backbone.

35 It will also be understood that similar modifications may be applied to the RNA oligonucleotides except in (b) the 2' group that would be replaced would by hydroxyl.

### DETAILED DESCRIPTION OF THE INVENTION

In order that the nature of the present invention may be more clearly understood the preferred forms of the present invention will now be  
5 described in greater detail.

### FIGURE LEGENDS

Figure 1 shows uptake of radiolabeled antisense E11 by smooth  
10 muscle cells (● passive; ■ "Lipofectamine").

Figure 2 shows effect of oligonucleotides (1 $\mu$ M) on smooth muscle cell proliferation.

In a survey of immediate-early genes that could be induced by acute  
15 vascular injury in the rat aorta, the expression of the early-growth-response gene product Egr-1 (krox-24; NGF-1A, zif268, T1S8), a serum-inducible zinc-finger nuclear phosphoprotein and member of a family of related transcription factors (3) was examined. In this examination aortic endothelium of male Sprague-Dawley rats (400g) was partially denuded  
20 using an uninflated 2F balloon catheter. Deendothelialized regions were identified by intravenous injection of Evans blue (0.3ml of 5% solution in PBS) 10 min prior to sacrifice. Animals were perfusion-fixed with phosphate-buffered 4% paraformaldehyde. Vessel segments were treated with 1 $\mu$ g/ml proteinase at 37°C, prehybridized for 2h at 55°C in 0.3M NaCl.  
25 20mM Tris, pH7.5, 5mM EDTA, 1X Denhardt's, 10% DTT and 50% formamide, and incubated with the appropriate <sup>35</sup>S-UTP-labeled riboprobe for 16h. After washing, the slides were coated with autoradiographic emulsion and exposed for 3 wk. The images were photographed and digitized. The hybridization signal of the radiolabeled probe appears as  
30 white grains. All specimens observed under dark field illumination after nuclear counterstain with hematoxylin. Immunostaining for factor-VIII-related antigen confirmed that injury was limited to endothelium.

In situ hybridization techniques which visualize the endothelium of the vessel wall *en face* revealed that Egr-1 expression was dramatically  
35 induced exclusively at the endothelial wound edge within 30 min of partial denudation. Egr-1 expression was undetectable in endothelium from

unmanipulated arteries. Induced Egr-1 mRNA was apparent after 2 h. and the time-dependent decrease in the specific hybridization signal demonstrates the transient induction of endothelial Egr-1 expression by injury. In contrast, the sense Egr-1 riboprobe failed to hybridize with mRNA  
5 from normal or injured tissue. PDGF-B-chain transcript levels were also low in unmanipulated vessels, consistent with previous findings using other techniques (4). Partial denudation did not induce PDGF-B gene expression at the endothelial wound edge until 4 h after injury and continued for several weeks during endothelial regeneration (5). The colocalization of the  
10 spatial patterns of Egr-1 and PDGF-B gene expression, and the temporal association between these two genes in injured arterial endothelium, led to a determination of whether Egr-1 could inducibly regulate the expression of PDGF-B.

In response to mechanical injury in vitro, confluent endothelial cells  
15 initiate movement into the open "wounded" area by actively responding to locally-derived signals or autocoids from injured cells. An in vitro model of vascular injury (6) was used to address the possible link between Egr-1 and injury-induced PDGF-B gene expression. Nuclear run-off analysis revealed that Egr-1 gene transcription was induced in cultured bovine aortic  
20 endothelial cells (BAEC) within 1 h of injury. 5' deletion analysis of the PDGF-B promoter in endothelial cells previously defined a region necessary for core promoter activity (d77) which contained a binding site for the ubiquitous transcription factor, Sp1 (7). Recent in vivo footprint analysis of the promoter demonstrates that the Sp1 element is indeed occupied in intact  
25 cells (8). In vitro DNase I footprinting revealed that recombinant Egr-1 protected a region overlapping this site from partial DNase I digestion. When nuclear extracts from endothelial cells 1h after injury were incubated with a <sup>32</sup>P-labelled oligonucleotide spanning this region (<sup>32</sup>P-Oligo B, 5'-GCTGTCTCCACCCACCTCTCGCACTCT-3'), a distinct nucleoprotein  
30 complex formed. The injury-induced complex was eliminated by antibodies to Egr-1. Nuclear Sp1 also bound to the PDGF-B promoter fragment; however, its levels are unaltered by injury. Thus, injury-induced endothelial Egr-1 expression precedes the induction of PDGF-B, and Egr-1 binds to a distinct region in the PDGF-B promoter also bound by Sp1.

35 The functional importance of this interaction for PDGF-B promoter-dependent gene expression was next determined. Northern blot and

- transient transfection analysis using PDGF-B promoter-reporter constructs previously revealed that this gene is basally expressed in vascular endothelial cells (7). Chloramphenicol acetyltransferase (CAT) expression driven by the PDGF-B promoter (d77-CAT) was induced by injury within
- 5 36 h. Reporter activity also increased in cells exposed to phorbol 12-myristate 13-acetate (PMA) or by cytomegalovirus-mediated overexpression of Egr-1. When a mutation that abolished the ability of Egr-1 to bind to the PDGF-B promoter was introduced into the d77-CAT construct, basal expression driven by the promoter was attenuated, and expression
- 10 inducible by injury was abolished. The mutant construct also failed to mediate increased reporter activity when Egr-1 was overexpressed, or when the cells were exposed to PMA. The Egr-1 binding site in the proximal PDGF-B promoter is thus required for inducible promoter-dependent expression in vascular endothelial cells.
- 15 The interaction of Egr-1 and Sp1 with overlapping binding elements in the proximal PDGF-B promoter suggests that Sp1, resident on the promoter in unstimulated cells, may be displaced by increasing levels of Egr-1. Running gel shifts (9) indicate that recombinant Egr-1 bound to the PDGF-B promoter in a stable and reversible manner. The relative efficiency with
- 20 which Egr-1 was displaced from <sup>32</sup>P-Oligo B by its unlabelled counterpart indicates that Egr-1 interacts with the PDGF-B promoter with a faster off-rate than its comparable site in the proximal PDGF-A promoter (9). Sp1 was displaced from the promoter by Egr-1 in a dose-dependent manner. Decreasing levels of Egr-1 in the presence of a fixed concentration of Sp1
- 25 allowed reoccupation of the promoter by Sp1. The absence of a higher order complex when both factors are present indicates that Egr-1 and Sp1 do not bind the promoter simultaneously. These findings with recombinant proteins indicate that an interplay involving Egr-1 and Sp1 can occur on the PDGF-B promoter.
- 30 The localized induction of Egr-1 at the endothelial wound edge precluded a direct determination of whether a displacement mechanism was involved in the induction of PDGF-B gene expression by injury. PMA is a model agonist of Egr-1 expression in vascular endothelial cells (9). The dramatic induction of Egr-1 mRNA and protein that precedes the increase in
- 35 PDGF-B levels in endothelial cells exposed to PMA is like the temporal pattern with which these genes are expressed at the endothelial wound edge

following arterial balloon injury. Transcript and protein levels of Sp1 are also not affected by PMA. Nuclear proteins from PMA-treated endothelial cells bound to the PDGF-B promoter with a pattern similar to that observed using injury-induced extracts. Immunobinding studies determined that  
5 nucleoprotein complexes contained either Sp1 or Egr-1. The profound induction in Egr-1 levels by PMA demonstrates the ability of this transcription factor to displace Sp1 from the PDGF-B promoter in the context of nuclear extracts. Accordingly, the PMA-inducible endothelial expression of the PDGF-B gene, like PDGF-A (9), involves an interplay between Egr-1  
10 and Sp1 at overlapping binding sites in the proximal promoter. This contrasts with a previous report suggesting that Egr-1 may serve as a negative regulator of gene transcription by blocking the binding of Sp1 to its own recognition sequence (10). These findings suggest that the localized induction of PDGF-B expression at the endothelial wound edge may also  
15 involve displacement of promoter-bound Sp1 by elevated levels of nuclear Egr-1. Egr-1 may be involved in interactions with other transcriptional activators and the basal complex to mediate increased gene expression in response to injury.

Egr-1 also appears to play a key role in injury-inducible PDGF  
20 expression in smooth muscle cells. In the rat arterial injury model in-situ hybridization with *en face* preparations indicate that Egr-1 expression is induced in smooth muscle cells concurrent with the expression of PDGF-A at the same location.

#### 25 Antisense Approach

The antisense approach is based on the ability of an oligonucleotide (synthetic DNA) to recognize its complementary sequence within the cell, in the form of messenger RNA; the bound complex is then able to sterically  
30 interfere with ribosome binding and translation into protein (11). Alternatively, the bound complex triggers cleavage of the mRNA by the nuclease RNase H, which is widely present in mammalian cells and specifically recognizes DNA-RNA duplexes(12). Thus, the overall effect of a given antisense oligonucleotide may be to reduce specific mRNA and protein  
35 levels if mediated by RNase H, or a reduction in specific protein levels in the case of steric interference (13).

Advantages offered by the use of antisense oligonucleotides over conventional inhibitors are specificity and synthesis. This is based on the uniqueness of the target mRNA and the general availability of oligonucleotide synthesizers. A drawback in this approach is the propensity of these oligonucleotides to be degraded or inactivated by nucleolytic phosphodiesterases. However, chemical modification of the phosphodiester linkages between individual nucleotides has been found to increase nuclease resistance by up to ten-fold and increase potency as a consequence (14,15).

As explained above Egr-1 is an immediate-early gene (16,17) expressed at low or undetectable levels in arterial endothelial cells (18) or smooth muscle cells. It is dramatically induced by a number of (patho)physiologically-relevant agonists and conditions such as fluid shear stress, mechanical injury (18), heparin-binding growth factor-1, as well as the protein kinase C-inducer, phorbol 12-myristate 13-acetate (9). Egr-1 mRNA is transcribed and processed in the nucleus; it then enters the cytoplasm where it is translated to protein. Since Egr-1 protein contains a nuclear targeting sequence, it reenters the nucleus and interacts with its nucleotide recognition sequence in the promoters of responsive genes. Two genes which are induced by Egr-1 are those encoding the platelet-derived growth factor A-chain (9) and B-chain (18). This growth factor is a potent mitogen and chemoattractant for smooth muscle cells (19) and produced by cells involved in the atherosclerotic or restenotic lesion. Accordingly, the PDGF ligand/receptor signalling system has been implicated in the pathogenesis of atherosclerosis.

Elevated levels of PDGF-A transcripts have been observed in human carotid plaques (20). The coexpression of PDGF-A with smooth muscle  $\alpha$ -actin implicated SMCs in the plaque as a source of PDGF-A (20). Murry and colleagues (21) also found PDGF-A mRNA in human atherosclerotic plaques using competitive RT-PCR. Rekhter and Gordon (22) used a triple immunolabeling approach to localize PDGF-A protein to smooth muscle-like cells and some endothelial cells within human carotid plaques. Libby and colleagues showed that SMCs cultured from human atherosclerotic plaques could express PDGF-A transcripts and secrete PDGF-like binding and mitogenic activity (23). Barrett and Benditt used Northern blot and dot blot analysis to show that levels of PDGF-B-chain mRNA were approximately five-fold greater in carotid plaques than normal aorta and carotid arteries

(24). *In situ* hybridization later corroborated these findings demonstrating that the PDGF-B-chain was associated with endothelium at the luminal surface of the plaque and smooth muscle-like cells within the plaque (25). Ross and coworkers used a double immunostaining technique with carotid endarterectomy specimens to detect PDGF-B protein in macrophages (26).

A number of important considerations were undertaken in the design of hybridization-specific antisense oligonucleotides to Egr-1. First, each oligonucleotide was synthesized with a phosphorothioate backbone for increased stability and potency. Second, oligonucleotides were size-matched to 15 bases; longer sequences were avoided to reduce the possibility of non-specific effects seen with longer oligonucleotides (Stein, C.A. (1996) Trends in Biotechnology 14:147-149). Third, a sequence of four consecutive guanosines was avoided in light of recent reports indicating that oligonucleotides bearing this sequence, such as those that have targeted c-myc and c-myc, inhibit proliferation by a nonantisense mechanism (27,28). Fourth, the efficacy of multiple oligonucleotides directed toward various regions of Egr-1 mRNA was assessed. Finally, a size-matched, fully phosphorothioated oligonucleotide with no sequence complementarity to any portion of Egr-1 mRNA was used as a negative control.

#### *Design of Egr-1 antisense oligonucleotides*

A panel of antisense oligonucleotides complementary to rat Egr-1 mRNA were designed to identify regions within the mRNA that were suitable target sites. Putative target sites were chosen on the basis of being encoded within regions of the mRNA that had low secondary structure and theoretically had a greater potential for inter molecular hybridisation. Such single stranded regions were identified firstly by using the Zuker algorithm for determination of free energy of RNA molecules (Zuker, M (1989) Science 244:48-52). Once a low energy secondary structure was determined, regions of low frequency intramolecular base-pairing were identified by visual examination. The oligo nucleotides used are set out in Table 1



Table 1. Nucleotide Sequence of Oligonucleotides (5' → 3')

E1	CGC	CAT	TAC	CTA	GTG
A/S2	CTT	GGC	CGC	TGC	CAT
E6	CCA	GGC	TGG	CGG	TAG
E7	GAG	AAC	TGA	TGT	TGG
E9	TGT	GGT	CAG	GTG	CTC
E11	ACA	CTT	TTG	TCT	GCT

5 *Uptake and stability of an Egr-1 antisense oligonucleotide by smooth muscle cells*

One of these oligonucleotides, E11, was radiolabeled with <sup>32</sup>P, and assessed for its ability to associate with cultured vascular smooth muscle  
 10 cells. After various times, the cultures were washed with phosphate-buffered saline and removed from the vessel by scraping. After centrifugation, the cells were transferred to Eppendorf tubes, solubilized and either counted in a scintillation counter or electrophoresed on a denaturing polyacrylamide gel.

15 Radiolabeled E11 associated with the cells in a time-dependent manner; maximal uptake was observed after 6 h (Fig. 1, passive). The oligonucleotide was still associated with the cells after 9 h and 24 h (Fig. 1, passive). Electrophoretic analysis indicated that the oligonucleotide did not undergo significant degradation during the course of experiment.

20

*Egr-1 antisense oligonucleotides inhibit smooth muscle proliferation*

The panel of oligonucleotides were assessed for their ability to inhibit smooth muscle cell proliferation in an assay of <sup>3</sup>H-thymidine  
 25 incorporation into DNA. Oligonucleotides were added to the culture supernate 6 h after the medium was changed to serum-free at a final concentration of 1 μM and incubated for a further 18 h. The cells were washed and exposed again to 1 μM oligonucleotide in medium containing a concentration of serum that would stimulate <sup>3</sup>H-thymidine incorporation  
 30 into DNA submaximally. After a further 24 h incubation, the cells were

pulsed for 6 h with  $^3\text{H}$ -thymidine prior to the determination of TCA-precipitable  $^3\text{H}$ -thymidine incorporation into DNA.

The control oligonucleotide E1, an oligonucleotide of random sequence bearing no complementarity to Egr-1 mRNA, did not alter the rate of serum-inducible  $^3\text{H}$ -thymidine incorporation into DNA in smooth muscle cells (n=10) (Fig. 2). In contrast, two Egr-1 antisense oligonucleotides were able to inhibit DNA synthesis. A/S2 and E11, directed against different portions of Egr-1 mRNA, inhibited by 63 % (n=10), and 50 % (n=12), respectively (Fig. 2). Trypan blue exclusion studies and morphologic observations revealed that inhibition was unlikely to be due to non-specific cytotoxic mechanisms. In contrast, Egr-1 antisense oligonucleotides E6, E7 or E9 failed to inhibit smooth muscle cell proliferation (Fig. 2). That not every Egr-1 antisense oligonucleotide could inhibit is consistent with the notion that naturally occurring mRNA has higher order structure and certain sequences may not be as accessible to certain oligonucleotides as others.

*Egr-1 antisense oligonucleotides inhibit Egr-1 protein synthesis, but not Sp1*

Western blot analysis was used to assess the effect of Egr-1 antisense oligonucleotides on levels of serum-inducible Egr-1. Oligonucleotides, at a final concentration of 1  $\mu\text{M}$ , were added to the culture supernates 6 h after changing the medium to serum-free to render the cells quiescent. After 16 h, the cells were washed with phosphate-buffered saline and incubated with 1  $\mu\text{M}$  oligonucleotide for a further 2 h. The cells were then exposed to a concentration of serum able to stimulate  $^3\text{H}$ -thymidine incorporation into DNA submaximally. After 2h, the cell lysate was electrophoresed on denaturing polyacrylamide gels prior to transfer and then assessed for the presence of Egr-1 using specific antibodies.

Serum induced the synthesis of Egr-1 protein within 2 h. Incubation of the cells with E1 did not affect the ability of serum to induce Egr-1. In contrast, E11 and A/S2 profoundly inhibited the induction of Egr-1 protein. Cellular levels of the related zinc-finger transcription factor, Sp1, were unaffected by either E11 or A/S2 demonstrating the target specificity of these oligonucleotides.

Taken together, these findings demonstrate that antisense oligonucleotides directed to selected regions of Egr-1 mRNA reduce the

accumulation of the Egr-1 protein. Inhibition of Egr-1 is not due to non-specific or cytotoxic mechanisms. Cells treated with these oligonucleotides do not undergo morphologic changes or take up Trypan blue. Moreover, whereas Egr-1 protein levels are profoundly attenuated by these  
5 oligonucleotide, levels of the related zinc-finger transcription factor, Sp1, are unaffected. These oligonucleotides can selectively inhibit smooth muscle cell proliferation.

### Discussion

10

Since Egr-1 is an inducible transcription factor with binding sites in multiple genes (9,18), these findings strongly suggest that the antiproliferative effects of these oligonucleotides may be due to selective inhibition of the expression of Egr-1-dependent genes required for  
15 proliferation, such as PDGF (9,18), bFGF (29) or cell-cycle regulatory genes (30). Egr-1 is not basally expressed in smooth muscle cells or endothelial cells of the vessel wall, unless activated by mechanical injury (18). Therefore, Egr-1 is an appropriate target for antiproliferative therapy.

The various oligonucleotides used in these studies were delivered to  
20 the cells without a carrier. Uptake is an energy-dependent process and is maximal at 37°C (31). Phosphorothioate oligonucleotides have been found to associate with an 80 kD protein on the cell surface, consistent with receptor-mediated endocytosis (32,33). Passive delivery, however, is largely an inefficient process (34). The biologic effects of these oligonucleotides can be  
25 augmented by methodologies which facilitate more efficient delivery into cells, such as liposomes (35). Indeed, such approaches may be useful in increasing the biological potency of E11 and A/S2. The results presented herein indicate that "Lipofectamine", for example, can increase both the rate and total uptake of radiolabeled E11 (Fig. 2) without affecting the integrity of  
30 the oligonucleotide to any significant extent. Since post-angioplasty restenosis is usually focal, local delivery of these oligonucleotides may be useful in the treatment of this disease, which in the United States occurred is approximately 30-50% of the over 300,000 procedures performed in 1991 alone (36).

35

It will be appreciated by persons skilled in the art that numerous variations and/or modifications may be made to the invention as shown in

the specific embodiments without departing from the spirit or scope of the invention as broadly described. The present embodiments are, therefore, to be considered in all respects as illustrative and not restrictive.

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## CLAIMS:-

1. A method of inhibiting proliferation of cells comprising inhibiting induction or decreasing expression of Egr-1 or decreasing the nuclear accumulation or activity of the Egr-1 gene product.
- 5 2. A method as claimed in claim 1 in which the cells are vascular cells.
3. A method as claimed in claim 1 in which the cells are smooth muscle cells.
4. A method as claimed in claim 1 in which the cells are endothelial cells.
- 10 5. A method as claimed in any one of claims 1 to 4 in which the expression of Egr-1 is decreased.
6. A method as claimed in claim 5 in which the expression of Egr-1 is decreased by the use of Egr-1 antisense oligonucleotides.
7. A method as claimed in claim 5 in which the expression of Egr-1 is  
15 decreased by the cleavage of Egr-1 mRNA by sequence-specific hammerhead ribozymes and derivatives of the hammerhead ribozyme, particularly Minizymes or Mini-ribozymes, or where the ribozyme is derived from:
  - (i) the hairpin ribozyme,
  - (ii) the Tetrahymena Group I intron,
  - 20 (iii) the Hepatitis Delta Viroid ribozyme or
  - (iv) the Neurospora ribozyme.
8. A method as claimed in claim 5 in which the expression of Egr-1 is decreased by the use of a ssDNA targeted against Egr-1 dsDNA the ssDNA molecule being selected so as to form a triple helix with the dsDNA.
- 25 9. A method as claimed in claim 5 in which the expression of Egr-1 is decreased by inhibition of translation of the Egr-1 mRNA by destabilisation of the Egr-1 mRNA by cleavage of the mRNA by sequence-specific catalytic molecules composed of DNA.
10. A method as claimed in claim 5 in which the expression of Egr-1 is  
30 decreased by inhibiting transcription of the Egr-1 gene using nucleic acid transcriptional decoys.
11. A method as claimed in claim 5 in which the antisense oligonucleotides have the sequence ACA CTT TTG TCT GCT or CTT GGC CGC TGC CAT.
- 35 12. An oligonucleotide for use in decreasing expression of Egr-1, the oligonucleotide having the sequence ACA CTT TTG TCT GCT.

13. A method of reducing the incidence of restenosis in subject the method comprising administering to the subject an agent which inhibits induction or decreases expression of Egr-1 or decreases the nuclear accumulation or activity of the Egr-1 gene product.
- 5 14. A method as claimed in claim 13 in which the agent decreases expression of Egr-1.
15. A method as claimed in claim 14 in which the agent is Egr-1 antisense oligonucleotides.
16. A method as claimed in claim 14 in which the agent is a  
10 sequence-specific hammerhead ribozymes and derivatives of the hammerhead ribozyme, particularly Minizymes or Mini-ribozymes, or where the ribozyme is derived from:
- 15 (i) the hairpin ribozyme,  
(ii) the Tetrahymena Group I intron,  
(iii) the Hepatitis Delta Viroid ribozyme or  
(iv) the Neurospora ribozyme;
- the expression of Egr-1 being decreased by cleavage of Egr-1 mRNA by the agent.
17. A method as claimed in claim 14 in which agent is ssDNA targeted  
20 against Egr-1 dsDNA the ssDNA molecule being selected so as to form a triple helix with Egr-1 dsDNA.
18. A method as claimed in claim 14 in which the agent is sequence-specific catalytic molecules composed of DNA. the expression of Egr-1 being decreased by inhibition of translation of the Egr-1 mRNA by  
25 destabilisation of the Egr-1 mRNA by cleavage of the mRNA by the agent.
19. A method as claimed in claim 14 in which the agent is a nucleic acid transcriptional decoy, the agent decreasing expression of Egr-1 by inhibiting transcription of the Egr-1 gene.
20. A method as claimed in claim 15 in which the antisense  
30 oligonucleotides have the sequence ACA CTT TTG TCT GCT or CTT GGC CGC TGC CAT.

1/2

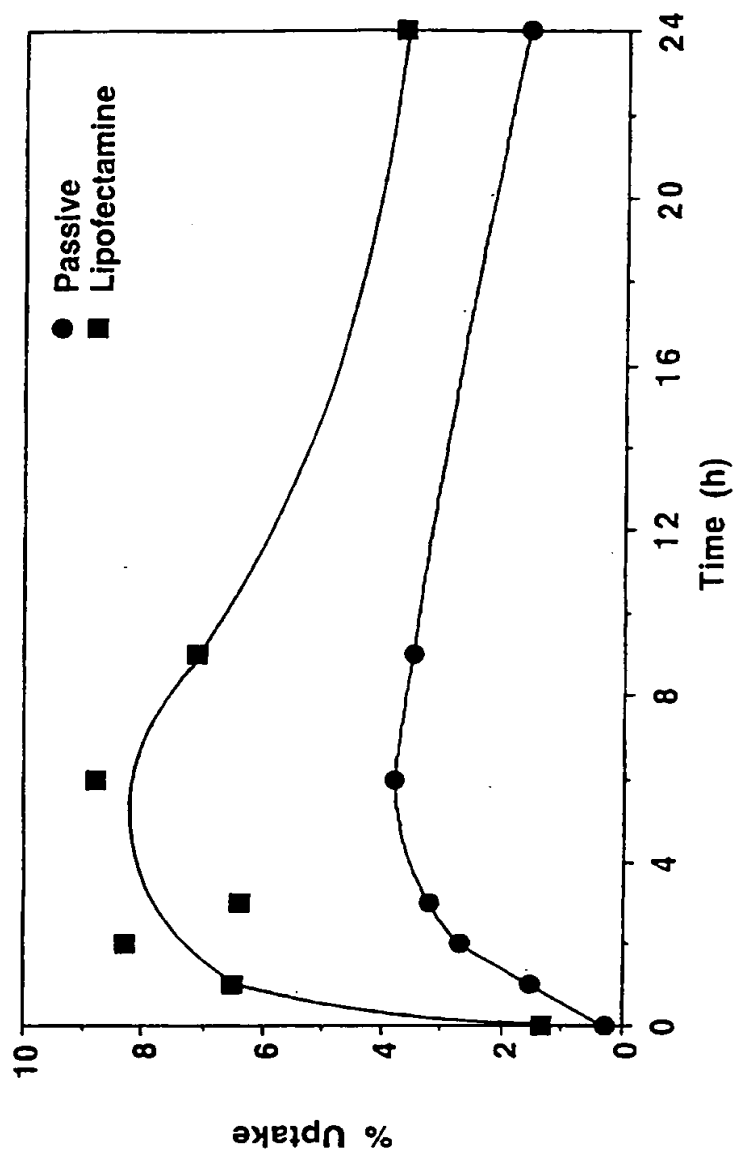


FIGURE 1

2/2

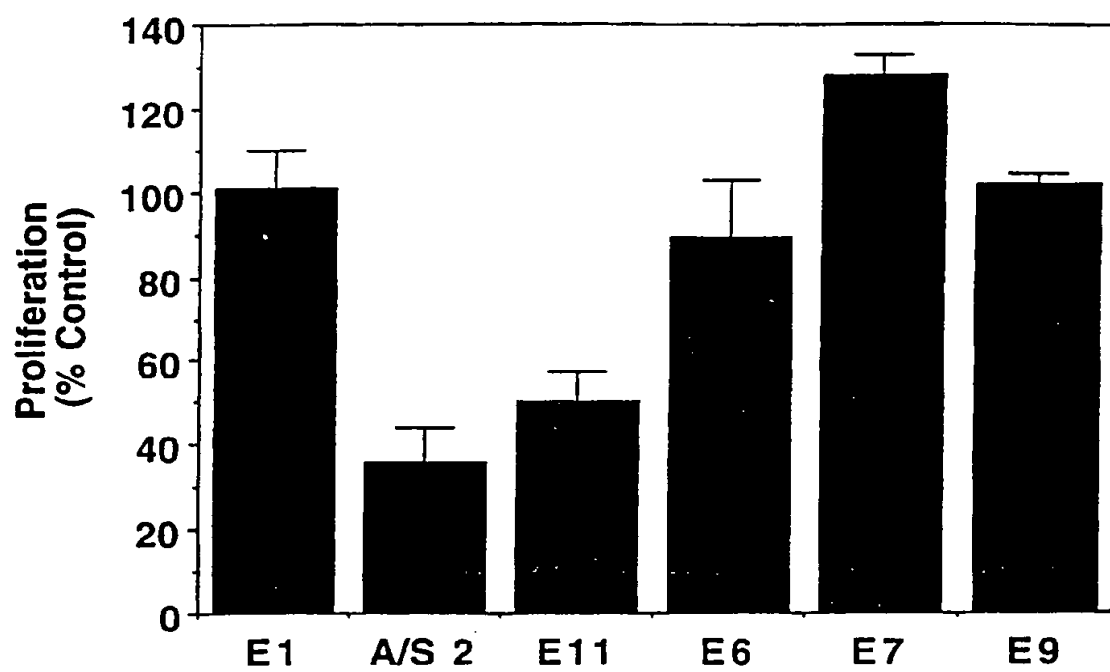
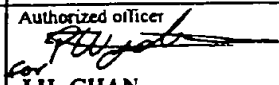


FIGURE 2

# INTERNATIONAL SEARCH REPORT

International Application No.  
PCT/AU 97/00140

<b>A. CLASSIFICATION OF SUBJECT MATTER</b>		
Int Cl <sup>6</sup> : C12N 15/11, C07H 21/04, C12N 15/63, A61K 48/00		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b>		
Minimum documentation searched (classification system followed by classification symbols) See Electronic Data base box below		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched See Electronic Data base box below		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) WPAT, JAPIO, USPM -search terms:- (Egr-1, early growth response) Chem Abs, Medline -search terms:- (early growth response, egr-1, cgr) (Decrease, prevent, block, reduce, suppress)		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	HU, R. M., et al (1994) "Astrocyte Growth is regulated by Neuropeptides through Tis 8 and basic fibroblast growth factor". <u>The Journal of Clinical Investigation</u> , volume 93, pages 1820-1827. See especially page 1821, last paragraph, right column.	1-6 11-12
A	US 5206152 (Sukhatme, V.P.) 27 April 1993	
<input type="checkbox"/> Further documents are listed in the continuation of Box C <input checked="" type="checkbox"/> See patent family annex		
* Special categories of cited documents: "A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art "&" document member of the same patent family		
Date of the actual completion of the international search 2 April 1997		Date of mailing of the international search report 11 April 1997 (11.04.97)
Name and mailing address of the ISA/AU AUSTRALIAN INDUSTRIAL PROPERTY ORGANISATION PO BOX 200 WODEN ACT 2606 AUSTRALIA Facsimile No.: (06) 285 3929		Authorized officer  J.H. CHAN Telephone No.: (06) 283 2340

**INTERNATIONAL SEARCH REPORT**  
Information on patent family members

International Application No.  
PCT/AU 97/00140

This Annex lists the known "A" publication level patent family members relating to the patent documents cited in the above-mentioned international search report. The Australian Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent Document Cited in Search Report				Patent Family Member	
EP	551200	GB	9200248	GB	9201390
					END OF ANNEX